## Compliance Checklist for CMS' CoP Electronic Notifications rule

Hospital falls within the requirement criteria for the Condition of Participation by having an electronic health record (EHR) or electronic administrative system that has the ability to generate HL7 2.5.1 messages.

System is fully operational and is in accordance with all state and federal statutes and regulations regarding the exchange of patient health information.

The following are being identified and captured electronically:

A patient's primary care provider (PCP)/group

Post-acute care services providers and suppliers with whom the patient has an established care relationship prior to admission

Post-acute care services providers and suppliers to whom the patient is being transferred or referred

A patient's identified practitioner(s)/group

Notifications are being sent in near-real time for required events:

When a patient is registered in the Emergency Department (ED)

When a patient is registered as an observational stay

When a patient is admitted to the inpatient services or is transferred into inpatient services from outpatient services

Immediately prior to or when a patient is discharged from the ED

Immediately prior to or when a patient is discharged from the observation services

Immediately prior to or when a patient is discharged from the inpatient services

Patient's expressed privacy preferences are being captured electronically.

Policies and procedures to comply with the Condition of Participation have been documented, are in place, and staff have been trained on them.

Notifications contain, at a minimum, patient name, treating practitioner name, and sending institution name.

If notifications are being sent through an intermediary, all the information for whom the notifications should be sent to (i.e. the PCP/group, the patient's identified practitioner(s), group(s), and/or post-acute care services providers and supplies) is part of the notification/ admit, discharge, transfer (ADT) message as necessary.

If notifications are being sent through an intermediary, the intermediary does not have a limited ability to deliver notifications to only a specified set of recipients.

Documentation and/or reports that can be used to monitor and demonstrate compliance are in place.

Audit trails of where notifications were to be sent and where they were sent are in place.

